

## APPLICATION FOR "BARODA ADVANCE AGAINST SECURITIES"

				or Baroda Advance against Securities. To ngwith the list of securities proposed to
1 <sup>st</sup> Applicant				
2 <sup>nd</sup> Applicant				
3 <sup>rd</sup> Applicant				
		LETTERS and tick ( $$ ) op		cable
Name:		(Middle name)		<del></del>
PAN No.:	(First name) L	(Middle name) IID:	(La Passpoi	ist name) rt No:
Date of Birth	DD/M	NA/VVVV	Present/ Communic	ration address:
Age	DD/ IVI	171/ 1 1 1 1	Tresenty Communic	ation address.
Marital Status	Single/ Married/ Div	orced/ Widowed		······
Gender	Male/ Female/ Othe			City:
Employment	☐ Salaried			Pin code:
status	☐ Self-employed		Phone number: (O)	(R)
(Please tick)	☐ Professional		Years in current add	dress: Mobile No:
	☐ House wife		E-mail:	· · · · · · · · · · · · · · · · · · ·
	☐ Others		Changed Residence	in past 3 years :
			☐ Not Changed	☐ Once ☐ More than Once
Г <u>-</u>	(16		Ι =	(16 ties
Previous addres	<b>s</b> (If any):			ss (If different from Communication
			Address)	
	City:			
State:	City: Pin	code.		City:
State.	· ' '''		State:	Pin code:
			Phone number:	
Family Details:		<b>Education</b> (please tick	<b>()</b> :	Banking with us (Y/N):
Father's/Husbar	nd's name:	☐ Matric or below r		If yes, then fill the following:
		☐ Under Graduate/		Banking with us since:_/(MM/YYYY)
		☐ Graduate		Account type:
	nts:	☐ Post-graduate	. 15	Account number:
No. of children:		☐ Doctorate/ Profe	ssional Degree	Branch name:
Are vous a ROP a	taff (Y/N):			Category (Please tick):
				General
Place of present	t posting			SC Sc
acc or present	- k			□ ST
				□ OBC
				☐ Other Minority



Salaried: Please tell Employer name: Department: Address:					Pul Pri Par	vt./Public so olic Ltd vate Ltd tnership oprietorship					
City:	Pir	_ n code:		State:		NC					
Phone no:						th employe					
Fax				no:		person: of			nus		employer:
Company email:								—- hi cvi	Jus		chipioyer.
Designation: Execu			ical		Retireme	ent age:					
Self-employed/Prof	essionals: P	lease tell a	bout vour wa	ork:	Business	details:					
Name of company/					☐ Priva	te Ltd. Co.	□ F	artners	ship		
Activity:					☐ Propi	rietorship		Others_	· 		
Address:											
						ed since: _					
City:				State:		sional, then ctor				wing	
	Pir	_ n code:				A				er	
Phone no:		Exti	n. No:		☐ Oth	rs (Please	specify)	:			
Fax no:											
Company email: Designation:			ner/ Dir	ector/							
Other	rioiniotei/	raith	ici/ Dir	ector/							
Years in business/p	rofession:										
Contact person:											
Other facilities Req	uired					.,					
Cheque Book									□ No		
Mobile Banking Internet Banking					☐ Yes ☐ No ☐ Yes ☐ No						
Internet banking						162			LI NO		
Is securities propos  DETAILS OF ASSETS		-	held in Joint	Name (	Y/N) (if yes	s, please fill	separat	e detail	s joint ho	olders	)
Deposit accounts (S											
Name of Bank		nch	Branch telephone number		mber of years ount held	Account type	Acco Num		Accou balar (Rs.	nce	As on date
Life Insurance Polici	es		I			I	<u> </u>		<u>I</u>		1
Policy No.		issuance	Sum Assu	ıred	Insure	r Co. & Brar name	nch	Ann Prem			ium paid or ender value
	-										
Sharos/Dahanturas	/Torm do-	osite / Co:	t Cooumition	אוכר /יי	/D/DDF a+-	١					
Shares/ Debentures											Whether
Co./ Bank/ Pos	t Office	Date of I	nstrument	Fac	e Value	Present	value	Du	e date		ncumbered
		<del></del>			· <del></del> _	1				1	



#### Other movable assets:

Descriptions	Year of acquiring	Purchase price	Present Value	Valuation date

#### **DETAILS OF LIABILITIES OF APPLICANT:**

## Outstanding Loan details in individual name

Financer	Purpose	Security	Date of sanction	Loan Amount	Present Outstanding	EMI	Overdue amount (if any)	Overdue since (if overdue)

Outstanding Loan details of firm/ company where you are interested as Proprietor/ Partner/ Director

Financer	Purpose	Security	Date of sanction	Loan Amount	Present Outstanding	EMI	Overdue amount (if any)	Overdue since (if overdue)

Outstanding Loan details in which applicant is guarantor

Financer	Purpose	Security	Date of sanction	Loan Amount	Present Outstanding	EMI	Overdue amount (if any)	Overdue since (if overdue)

Outstanding Loans against securities

	.6 ===:::	100 000011010						
Financer	Purpose	Security	Date of sanction	Loan Amount	Present Outstanding	EMI	Overdue amount (if any)	Overdue since (if overdue)

### Net worth

Particulars	Amount (In Rs.)
1. Total movable	
2. Total immovable	
3. Total assets (1+2)	
4. Total liabilities	
5. Net assets (3-4)	

I enclose / submit documentary proof in support of the above submissions.

## Particulars of Legal Heirs:

Sr. No.	Name	Relationship with applicant	Age	Present Address (If different from applicant's address)

I declare that the information submitted above is true and correct to best of my knowledge and belief.

## Declaration:-

I hereby declare that all the particulars and information furnished in the application form are true, correct and complete and up-to-date in all respects. I have not withheld any information. I confirm that I have had no insolvency proceedings initiated against me nor have I ever been adjudicated insolvent. I also authorize Bank of Baroda or its agent to make references and enquiries relative to information in this application which Bank of Baroda considers necessary. I also



authorize Bank of Baroda to exchange, share, part with all information relating to my loan details and repayment history information to other Banks/Financial institutions/ Credit Bureaus/Agencies as may be required and shall not hold Bank of Baroda liable for use of this information. I undertake to inform Bank of Baroda regarding any change in my residence/ employment/ Occupation/ Transfer and to provide any other information that Bank of Baroda may require. I further agree that my loan shall be governed by the rules of Bank of Baroda which may be in force from time to time. Bank of Baroda reserves the right to reject any application without providing any reason.

I further declare that I am not a Director in any Bank. There is no litigation against the firm or me / co. in which I am the proprietor / a partner/ a Director.

I am / was not interested as Proprietor / partner/ Director in any firm/ company whose name is listed in caution list of RBI/ ECGC.

I under take to bear processing, service, documentation charges etc. stipulated by the bank from time to time. I agree, note and understand that Bank of Baroda shall be entitled at its sole discretion to call back, the entire loan/advance whether due and payable or not at any time without assigning any reason and enforce its rights, remedies and securities.

Yours faithfully,	
	Please affix Recent photograph
Name:Place:	



## **IMPORTANT INFORMATION FOR APPLICANTS OF LOAN AGAINST SECURITIES**

Unif	ied Processing Charges	New Account: 0.35% of sanctioned amount subject to Minimum Rs. 500.0 and maximum Rs. 2,500.00 (Upfront) (in case of Baroda e-trade account holder, maximum processing charges are Rs. 1,000.00)
		2. Renewal/ Enhancement: 0.35% p.a. of sanctioned amount subject to minimular of Rs. 250.00 and maximum Rs. 1,250.00 (in case of Baroda e-trade account holder, maximum renewal charges are Rs. 500.00)
		Note:-
		1. In case of new account, minimum charges to be collected upfront and a non-refundable.
		<ul> <li>The above charges will be unified processing charges which includes</li> <li>a. Bureau report (CIBIL) Charges (Upto -3- applicants, above -3- applicants, 100.00 per person will be charged)</li> <li>b. Documentation/ Vetting Charges</li> <li>c. Inspection Charges</li> </ul>
		<ul><li>d. Pledge Charges (Creation/ Invocation/ Closure )</li><li>3. The inclusive pledge charges are apart from any charges collected borrower's depository participant directly.</li></ul>
		<ul><li>4. Any brokerage, transaction charges or any statutory charges will be borne the applicant on actual basis.</li></ul>
		5. Any stamp paper/ duty/ franking charges or any other statutory charges to borne by the applicant on actual basis.
Acco	ount operation Charges	For all other banking charges relating to banking services and operations accounts, please refer to <a href="https://www.bankofbaroda.com/servicecharges.asp">www.bankofbaroda.com/servicecharges.asp</a>
Р	repayment Charges	5% of sanctioned limit if closed within -12- months of availing the facility
LIST C	OF DOCUMENTS TO BE SUE	BMITTED:
	Passport size photogra	aph of all the applicants
		ification, which may be established from the Proof of Business / office address.
	_	f (PAN/ Voter ID/ Passport/ Driving License/ UID)
		f (PAN card/ Voter ID, Passport, Driving License or Ration card)
	Any one signature pro	
	Latest Demat Account	
	Client Master List for t	
		primary bank statement
	Copy of two years inco	
	Client Master List for t	
		Authority for unified processing charges.
		roposed to be pledged.
 		oposed to be predged.
	<u> 4</u>	CKNOWLEDGEMENT TO THE APPLICANTS FOR APPLICATION:
We h	nave received the applic	ation of Loan against Securities from Mr,,
	for Rs	on at: (HH/MM).
	ollowing is the status of re	

For Bank of Baroda
\_\_\_\_\_Branch



## TO BE FILLED FOR CO-APPLICANTS (S) IF ANY

Please complete all sections in BLOCK LETTERS and tick ( $\sqrt{}$ ) options wherever applicable (First name) (Middle name) \_\_\_\_ Passport No: \_\_\_\_ (Last name) UID: Date of Birth Present/ Communication address: Age Marital Status Single/ Married/ Divorced/ Widowed \_\_\_\_\_ City:\_\_\_\_ Male/ Female/ Other Gender 
 State:
 \_\_\_\_Pin code:

 Phone number:
 (R)
 ☐ Salaried Employment ☐ Self-employed status Years in current address: \_\_\_\_ Mobile No: \_\_\_\_\_ (Please tick) ☐ Professional E-mail: ☐ House wife Changed Residence in past 3 years: ☐ Others ☐ Not Changed ☐ Once ☐ More than Once Previous address (If any): Permanent address (If different from Communication Address) \_\_\_\_\_ City:\_\_\_\_ \_\_\_\_\_ City:\_\_\_\_ State: \_\_\_\_\_ Pin code: \_\_\_\_\_ State: \_\_\_\_\_ Pin code: \_\_\_\_\_ Phone number: \_\_\_\_\_ Banking with us (Y/N): Family Details: **Education** (please tick): If yes, then fill the following: Father's/Husband's name: ☐ Matric or below matric ☐ Under Graduate/Higher secondary Banking with us since:\_/\_\_\_(MM/YYYY) ☐ Graduate Account type: \_\_\_\_\_ ☐ Post-graduate Account number: No. of dependents: □ Doctorate/ Professional Degree Branch name: No. of children: Are you a BOB staff (Y/N): \_\_\_\_ Category (Please tick): If yes, EC No. ☐ General Place of present posting □ SC □ ST □ OBC ☐ Other Minority Salaried: Please tell about your work: Working with: ☐ Govt./Public sector Employer name: \_\_\_\_\_ Department: ☐ Public Ltd Address: ☐ Private Ltd ☐ Partnership ☐ Proprietorship ☐ MNC State: Pin code: Years with employer: \_\_\_\_\_ Phone no: \_\_\_\_\_ Extn No: \_\_\_\_ Contact person: \_\_\_\_\_ Fax Name of previous employer: Company email: \_\_\_\_

Retirement age: \_\_\_\_\_

Designation: Executive/ Managerial/ Clerical



Name of con	npany/fi				☐ Priva	s details: ate Ltd. Co orietorship		Partners Others_	•				
Activity: Address:							_ — P10р	πιστοι SΠΙβ	Ц	Others_			
								hed since:					
City:					S	state:	-	ssional, the octor				owing	
		Pir	n code: _				□ ME	ВА	☐ Eng	gineer	☐ Lawy		
Phone no:					Extn.	No:	□ Otl	hers (Pleas	se specif	y):			
Fax						no:							
Company					e	mail:							
Designation: Other						ctor/							
Years in busi													
Contact pers	.011						<u> </u>						
Is securities	propose	d to be pl	edged ar	e held in	Joint N	ame (	Y/N) (if ye	es, please f	ill separa	ate detail	ls joint h	olders)	
DETAILS OF A	ASSETS H	IELD BY AF	PPLICANT										
Deposit acco													
		<sub>60</sub> , cai	,		anch	Nu	mber of	Account	Ας,	count	Acco	unt	As on
Name of B	ank	Bra	nch		ohone		years	type		mber	bala (Pa		date
				nur	nber	acco	ount held	1			(Rs	». <i>)</i>	
Life Insuranc	e Policie	:S											
Life Insuranc Policy No			issuance	Sur	n Assur	ed	Insure	er Co. & Br	anch	Ann			um paid or
			issuance	Sur	n Assur	ed	Insure	er Co. & Brander name	anch	Ann Prem			um paid or nder value
			issuance	Sur	n Assur	ed	Insure		anch				
	0.	Date of						name	anch				
Policy No	0.	Date of	osits / Go		rities (N	ISC/KV		name	anch	Prem		surre	
Policy No	o. entures/	Date of	osits / Go	ovt. Secu	rities (N	ISC/KV	/P/PPF etc	name		Prem	ium	surre	nder value  Whether
Policy No	o. entures/	Date of	osits / Go	ovt. Secu	rities (N	ISC/KV	/P/PPF etc	name		Prem	ium	surre	nder value  Whether
Policy No	entures/ nk/ Post	Date of Term dep Office s:	osits / Go	ovt. Secu	rities (N	ISC/KV Face	/P/PPF etc	name	nt value	Prem	ue date	surrei	Whether cumbered
Shares/ Debe	entures/ nk/ Post	Date of Term dep Office	osits / Go	ovt. Secu	rities (N	ISC/KV	/P/PPF etc	name	nt value	Prem	ue date	surrei	nder value  Whether
Shares/ Debe	entures/ nk/ Post	Date of Term dep Office s:	osits / Go	ovt. Secu	rities (N	ISC/KV Face	/P/PPF etc	name	nt value	Prem	ue date	surrei	Whether cumbered
Shares/ Debe Co./ Bal Other movab	entures/ nk/ Post  ble asset  Desc	Date of Term dep Office s: criptions	Date o	pvt. Secu f Instrum	rities (N	ISC/KV Face	/P/PPF etc	name	nt value	Prem	ue date	surrei	Whether cumbered
Shares/ Debe Co./ Bal Other moval	entures/ nk/ Post  ble asset  Desc	Date of Term dep Office s: criptions	Date o	pvt. Secu f Instrum	rities (N	ISC/KV Face	/P/PPF etc	name	nt value	Present	ue date	v en Valu	Whether cumbered ation date
Shares/ Debe Co./ Bal Other movab	entures/ nk/ Post  ble asset  Desc	Date of Term dep Office s: criptions ES OF APP tails in ind	Date o	ovt. Secu f Instrum	rities (N	ISC/KV Face of acq	/P/PPF etc e Value uiring	name  c.)  Preser  Purchase	nt value	Present	ue date	Valu	Whether cumbered
Shares/ Debe Co./ Bal Other moval	entures/ nk/ Post  Description	Date of Term dep Office s: criptions ES OF APP tails in ind	Date o	ovt. Secu f Instrum	rities (N	ISC/KV Face of acq	/P/PPF etc e Value uiring	name  2.)  Preser  Purchase	price	Present	ue date	Value Ov s	Whether cumbered ation date
Shares/ Debe Co./ Bal Other moval	entures/ nk/ Post  Description	Date of Term dep Office s: criptions ES OF APP tails in ind	Date o	ovt. Secu f Instrum	rities (N	ISC/KV Face of acq	/P/PPF etc e Value uiring	name  c.)  Preser  Purchase	price	Present	ue date  E Value	Value Ov s	Whether cumbered ation date
Shares/ Debe Co./ Bal Other moval	entures/ nk/ Post  Description	Date of Term dep Office s: criptions ES OF APP tails in ind	Date o	f Instrum  ame  Date of anction	rities (Neent Year of Amo	of acq	/P/PPF etc e Value uiring Pres Outsta	name  2.)  Preser  Purchase  sent anding	price EMI	Prem Du Du Present	ue date  Verdue mount f any)	Value Ov s	Whether cumbered ation date
Shares/ Debe Co./ Bal Other moval	entures/ nk/ Post  Description	Date of Term dep Office s: criptions ES OF APP tails in ind	Date o  LICANT: lividual naturity s	ame Date of anction	rities (Neent Year of Amo	of acq	/P/PPF etc e Value uiring Pres Outsta	name  2.)  Preser  Purchase  sent anding	price EMI	Prem  Du  Present  Ov  ar  (ii	ue date  : Value  /erdue mount f any)	Valu Ov s (if o	Whether cumbered ation date erdue ince verdue)
Shares/ Debe Co./ Bal Other moval	entures/ nk/ Post  Description	Date of Term dep Office s: criptions ES OF APP tails in ind ose Sec	Date o  LICANT: lividual naturity s m/ compa	f Instrum  ame  Date of anction	Year of Amo	of acq	Presoutsta	name  2.)  Preser  Purchase  sent anding	price EMI	Prem  Du  Present  Ov  ar  (ii  r/ Director  Ov	ue date  Verdue mount f any)	Value Ov	Whether cumbered ation date



Outstanding Loan details in which applicant is guarantor

Financer	Purpose	Security	Date of sanction	Loan Amount	Present Outstanding	EMI	Overdue amount (if any)	Overdue since (if overdue)

Outstanding Loan against securities)

Financer	Purpose	Security	Date of Loan sanction Amoun		Present Outstanding	EMI	Overdue amount (if any)	Overdue since (if overdue)

#### Net worth

Particulars	Amount (In Rs.)
1. Total movable	
2. Total immovable	
3. Total assets (1+2)	
4. Total liabilities	
5. Net assets (3-4)	

I enclose / submit documentary proof in support of the above submissions.

#### Particulars of Legal Heirs:

Sr. No.	Name	Relationship with applicant	Age	Present Address (If different from applicant's address)

I declare that the information submitted above is true and correct to best of my knowledge and belief.

#### Declaration:-

I hereby declare that all the particulars and information furnished in the application form are true, correct and complete and up-to-date in all respects. I have not withheld any information. I confirm that I have had no insolvency proceedings initiated against me nor have I ever been adjudicated insolvent. I also authorize Bank of Baroda or its agent to make references and enquiries relative to information in this application which Bank of Baroda considers necessary. I also authorize Bank of Baroda to exchange, share, part with all information relating to my loan details and repayment history information to other Banks/Financial institutions/ Credit Bureaus/Agencies as may be required and shall not hold Bank of Baroda liable for use of this information. I undertake to inform Bank of Baroda regarding any change in my residence/ employment/ Occupation/ Transfer and to provide any other information that Bank of Baroda may require. I further agree that my loan shall be governed by the rules of Bank of Baroda which may be in force from time to time. Bank of Baroda reserves the right to reject any application without providing any reason.

I further declare that I am not a Director in any Bank. There is no litigation against the firm or me / co. in which I am the proprietor / a partner/ a Director.

I am / was not interested as Proprietor / partner/ Director in any firm/ company whose name is listed in caution list of RBI/ ECGC.

I under take to bear processing, service, documentation charges etc. stipulated by the bank from time to time. I agree, note and understand that Bank of Baroda shall be entitled at its sole discretion to call back, the entire loan/advance whether due and payable or not at any time without assigning any reason and enforce its rights, remedies and securities.

Please affix Recent photograph

Yours faithfully,





# DETAIL WITH RESPECT TO SECURITIES PROPOSED TO BE PLEDGED (To be submitted by borrower alongwith application)

Bank of B										
Dear Mad	lam/ Sir									
Deposito	ry Participant Name									
DP ID										
	ry Account No									
Please fin	d below the details of secu	urities proposed	to be pledge	d for availi	ng the loan ag	ainst securities.				
ISIN	Scrip / Scheme Name	Depository Participant	Quantity	Face Value	Present Value	Total Value	Stock Exchange			
	TOTA	L VALUE	l							
Yours fait	hfully									
(Borrowe	r Name)									
Date:										
Place:										
The share	es are to be pledged in favo	or of Bank of Rai	roda							
	Depository				Pledgee Account No					

NSDL CDSL