

TRANSPARANCY AUDIT REPORT 2020-21 BY AJNIFM

Sr. No	Details of disclosure	Total Marks	Selecte d Category by PA	Marks Obtained by PA	Remarks by PA	Auditor Category	Audit or Marks	Auditor Remarks/URL
1.1	Particulars of its organisation, functions and duties[Section 4(1)(b)(i)]							
1.1.1	Name and address of the Organization	1.28	Fully Met	1.28	https://www.bankofbaroda.in/contact-us.htm	Fully Met	1.28	Fully Met. URL quoted is correct.
1.1.2	Head of the organization	1.28	Fully Met	1.28	https://www.bankofbaroda.in/board-of-directors.htm	Fully Met	1.28	Fully Met. URL quoted is correct.
1.1.3	Vision, Mission and Key objectives	1.28	Fully Met	1.28	https://www.bankofbaroda.in/overview.htm	Fully Met	1.28	Fully Met. URL quoted are correct.
1.1.4	Function and duties	1.28	Fully Met	1.28	https://www.bankofbaroda.in/particular-details-about-the-bank.htm#4bviii https://www.bankofbaroda.in/writereaddata/Images/pdf/Functions.pdf	Fully Met	1.28	Fully Met. URLs quoted are correct.
1.1.5	Organization Chart	1.28	Fully Met	1.28	https://www.bankofbaroda.in/writereaddata/Images/pdf/Website-Update20-03-21.pdf	Fully Met	1.28	Fully Met. URL quoted is correct.
1.1.6	Any other details- the genesis, inception, formation of the	1.28	Fully Met	1.28	https://www.bankofbaroda.in/corporate-governance.htm	Fully Met	1.28	Fully Met. URL quoted is correct.

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	department and the HoDs from time to time as well as the committees/ Commissions constituted from time to time have been dealt							
1.2	Power and duties of its officers and employees[Section 4(1) (b)(ii)]							
1.2.1	Powers and duties of officers (administrative, financial and judicial)	1.54	Fully Met	1.54	https://www.bankofbaroda.in/particular-details-about-the-bank.htm#4bii	Fully Met	1.54	Fully Met. URL quoted is correct.
1.2.2	Power and duties of other employees	1.54	Fully Met	1.54	https://www.bankofbaroda.in/particular-details-about-the-bank.htm#4bii	Fully Met	1.54	Fully Met. URL quoted is correct.
1.2.3	Rules/ orders under which powers and duty are derived and	1.54	Fully Met	1.54	https://www.bankofbaroda.in/particular-details-about-the-bank.htm#4bii	Fully Met	1.54	Fully Met. URL quoted is correct.
1.2.4	Exercised	1.54	Fully Met	1.54	https://www.bankofbaroda.in/particular-details-about-the-bank.htm#4bii	Fully Met	1.54	Fully Met. URL

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								quoted is correct.
1.2.5	Work allocation	1.54	Fully Met	1.54	https://www.bankofbaroda.in/particular-details-about-the-bank.htm#4bii	Fully Met	1.54	Fully Met. URL quoted is correct.
1.3	Procedure followed in decision making process [Section 4(1)(b)(iii)]							
1.3.1	Process of decision making Identify key decision making points	1.54	Fully Met	1.54	https://www.bankofbaroda.in/particular-details-about-the-bank.htm#4biii	Fully Met	1.54	Fully Met. URL quoted is correct.
1.3.2	Final decision making authority	1.54	Fully Met	1.54	https://www.bankofbaroda.in/compliance-of-direction-issued-by-cic.htm	Fully Met	1.54	Fully Met. URL quoted is correct.
1.3.3	Related provisions, acts, rules etc.	1.54	Fully Met	1.54	https://www.bankofbaroda.in/particular-details-about-the-bank.htm#4biii	Fully Met	1.54	Fully Met. URL quoted is correct.
1.3.4	Time limit for taking a decisions, if any	1.54	Fully Met	1.54	https://www.bankofbaroda.in/writereaddata/images/pdf/citizens-charter-2019-20-01-06-2020.pdf	Fully Met	1.54	Fully Met. URL quoted is correct.

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1.3.5	Channel of supervision and accountability	1.54	Fully Met	1.54	https://www.bankofbaroda.in/particular-details-about-the-bank.htm#4biii	Fully Met	1.54	Fully Met. URL quoted is correct.
1.4	Norms for discharge of functions[Section 4(1)(b)(iv)]							
1.4.1	Nature of functions/ services offered	1.54	Fully Met	1.54	https://www.bankofbaroda.in/index.htm	Fully Met	1.54	Fully Met. URL quoted is correct.
1.4.2	Norms/ standards for functions/ service delivery	1.54	Fully Met	1.54	https://www.bankofbaroda.in/particular-details-about-the-bank.htm#4biv https://www.bankofbaroda.in/writereaddata/images/pdf/citizens-charter-2019-20-01-06-2020.pdf	Fully Met	1.54	Fully Met. URLs quoted are correct.
1.4.3	Process by which these services can be accessed	1.54	Fully Met	1.54	Services can be accessed by following segregation & path provided at website at https://www.bankofbaroda.in/index.htm	Fully Met	1.54	Fully Met. URL quoted is correct.
1.4.4	Time-limit for achieving the targets	1.54	Fully Met	1.54	https://www.bankofbaroda.in/writereaddata/images/pdf/citizens-charter-2019-20-01-06-2020.pdf	Fully Met	1.54	Fully Met. URL quoted is correct.
1.4.5	Process of redress of grievances	1.54	Fully Met	1.54	https://www.bankofbaroda.in/redressal-of-complaints.htm	Fully Met	1.54	Fully Met. URL quoted is correct.

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1.5	Rules, regulations, instructions manual and records for discharging functions[Section 4(1)(b)(v)]							
1.5.1	Title and nature of the record/ manual /instruction.	1.92	Fully Met	1.92	https://www.bankofbaroda.in/particular-details-about-the-bank.htm#4bv	Fully Met	1.92	Fully Met. URL quoted is correct.
1.5.2	List of Rules, regulations, instructions manuals and records.	1.92	Fully Met	1.92	https://www.bankofbaroda.in/particular-details-about-the-bank.htm#4bv	Fully Met	1.92	Fully Met. URL quoted is correct.
1.5.3	Acts/ Rules manuals etc.	1.92	Fully Met	1.92	https://www.bankofbaroda.in/particular-details-about-the-bank.htm#4bv	Fully Met	1.92	Fully Met. URL quoted is correct.
1.5.4	Transfer policy and transfer orders	0	Not Applicable	0		Not Met	0	Not Met. Transfer policy and transfer orders information is required to be in public domain for the purpose of

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								transparenc y as required by this serial number.
Banks Remark (Post audit) - Transfer orders are being issued at management call/ as per banks requirements and as per CVC guidelines at decentralised structure level. Hence transfer order cannot be uploaded.								
1.6	Categories of documents held by the authority under its control[Section 4(1)(b) (vi)]							
1.6.1	Categories of documents	3.85	Fully Met	3.85	https://www.bankofbaroda.in/particular-details-about-the-bank.htm#4bvi	Fully Met	3.85	Fully Met. URL quoted is correct.
1.6.2	Custodian of documents/categories	3.85	Fully Met	3.85	https://www.bankofbaroda.in/particular-details-about-the-bank.htm#4bvi	Fully Met	3.85	Fully Met. URL quoted is correct.
1.7	Boards, Councils, Committees and other Bodies constituted as part of the Public Authority [Section 4(1)(b)(viii)]							
1.7.1	Name of Boards, Council, Committee etc.	0.96	Fully Met	0.96	https://www.bankofbaroda.in/writereaddata/Images/pdf/CGR-2019-20.pdf	Fully Met	0.96	Fully Met. URL quoted is correct.
1.7.2	Composition	0.96	Fully Met	0.96	https://www.bankofbaroda.in/writereaddata/Images/pdf/CGR-2019-20.pdf	Fully Met	0.96	Fully Met. URL

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								quoted is correct.
1.7.3	Dates from which constituted	0.96	Fully Met	0.96	https://www.bankofbaroda.in/writereaddata/Images/pdf/CGR-2019-20.pdf	Fully Met	0.96	Fully Met. URL quoted is correct.
1.7.4	Term/ Tenure	0.96	Fully Met	0.96	https://www.bankofbaroda.in/writereaddata/Images/pdf/CGR-2019-20.pdf	Fully Met	0.96	Fully Met. URL quoted is correct.
1.7.5	Powers and functions	0.96	Fully Met	0.96	https://www.bankofbaroda.in/writereaddata/Images/pdf/CGR-2019-20.pdf	Fully Met	0.96	Fully Met. URL quoted is correct.
1.7.6	Whether their meetings are open to the public?	0	Not Applicable	0		Not Applicable	0	Not Applicable as submitted by public authority.
1.7.7	Whether the minutes of the meetings are open to the public?	0	Not Applicable	0		Not Applicable	0	Not Applicable as submitted by public authority.

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1.7.8	Place where the minutes if open to the public are available?	0	Not Applicable	0		Not Applicable	0	Not Applicable as submitted by public authority.
1.8	Directory of officers and employees[Section 4(1) (b) (ix)]							
1.8.1	Name and designation	3.85	Fully Met	3.85	https://www.bankofbaroda.in/writereaddata/Images/pdf/Webiste-Revised-April-2021.pdf	Fully Met	3.85	Fully Met. URL quoted is correct.
1.8.2	Telephone , fax and email ID	3.85	Fully Met	3.85	https://www.bankofbaroda.in/bob-branch-location.htm	Fully Met	3.85	Fully Met. URL quoted is correct.
1.9	Monthly Remuneration received by officers & employees including system of compensation[Section 4(1) (b) (x)]							
1.9.1	List of employees with Gross monthly remuneration	3.85	Fully Met	3.85	https://www.bankofbaroda.in/particular-details-about-the-bank.htm#4bx	Fully Met	3.85	Fully Met. URL quoted is correct.

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1.9.2	System of compensation as provided in its regulations	3.85	Fully Met	3.85	https://www.bankofbaroda.in/particular-details-about-the-bank.htm#4bx	Fully Met	3.85	Fully Met. URL quoted is correct.
1.10	Name, designation and other particulars of public information officers[Section 4(1) (b) (xvi)]							
1.10.1	Name and designation of the public information officer (PIO), Assistant Public Information officer (APIO) & Appellate Authority	3.85	Fully Met	3.85	https://www.bankofbaroda.in/public-information-officers.htm https://www.bankofbaroda.in/first-appellate-authorities.htm	Fully Met	3.85	Fully Met. URLs quoted are correct.
1.10.2	Address, telephone numbers and email ID of each designated official.	3.85	Fully Met	3.85	https://www.bankofbaroda.in/public-information-officers.htm https://www.bankofbaroda.in/first-appellate-authorities.htm	Fully Met	3.85	Fully Met. URLs quoted are correct.

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1.1 1	No. Of employees against whom Disciplinary action has been proposed/ taken(Section 4(2))							
1.11.1	No. of employees against whom disciplinary action has been (i) Pending for Minor penalty or major penalty proceedings	0	Not Applicable	0		Not Met	0	Not Met. The said information is required to be in public domain for the purpose of transparency as required by this serial number.
1.11.2	(ii) Finalised for Minor penalty or major penalty proceedings	0	Not Applicable	0		Not Met	0	Not Met. The said information is required to be in public domain for the purpose of transparency as

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								required by this serial number.
1.1 2	Programmes to advance understanding of RTI(Section 26)							
1.12.1	Educational programmes	1.92	Fully Met	1.92	https://www.bankofbaroda.in/right-to-information-act.htm	Fully Met	1.92	Fully Met. URL quoted is correct.
1.12.2	Efforts to encourage public authority to participate in these programmes	1.92	Fully Met	1.92	https://www.bankofbaroda.in/right-to-information-act.htm	Fully Met	1.92	Fully Met. URL quoted is correct.
1.12.3	Training of CPIO/APIO	1.92	Fully Met	1.92	Bank has conducted online Webinar to train the CPIO at Corporate office & Head Office and PIO's working in Regions & zones.	Fully Met	1.92	Fully met.
1.12.4	Update & publish guidelines on RTI by the Public Authorities concerned	1.92	Fully Met	1.92	https://www.bankofbaroda.in/right-to-information-act.htm https://cic.gov.in/rTI-notifications	Fully Met	1.92	Fully Met. URLs quoted are correct.

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1.13	Transfer policy and transfer orders[F No. 1/6/2011- IR dt. 15.4.2013]							
1.13.1	Transfer Policy And Transfer Orders[F No. 1/6/2011- IR Dt. 15.4.2013]	0	Not Applicable	0		Not Met	0	Not Met. Transfer policy and transfer orders information is required to be in public domain for the purpose of transparency as required by this serial number.
<p>Banks Remark (Post audit) - Transfer orders are being issued at management call/ as per banks requirements and as per CVC guidelines at decentralised structure level. Hence transfer order cannot be uploaded.</p>								

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2.1	Budget allocated to each agency including all plans, proposed expenditure and reports on disbursements made etc.[Section 4(1)(b)(xi)]							
2.1.1	Total Budget for the public authority	<input type="text" value="0"/>	Not Applicable	<input type="text" value="0"/>		Not Applicable	0	Not Applicable as submitted by public authority.
2.1.2	Budget for each agency and plan & programmes	<input type="text" value="0"/>	Not Applicable	<input type="text" value="0"/>		Not Applicable	0	Not Applicable as submitted by public authority.
2.1.3	Proposed expenditures	<input type="text" value="0"/>	Not Applicable	<input type="text" value="0"/>		Not Applicable	0	Not Applicable as submitted by public authority.
2.1.4	Revised budget for each agency, if any	<input type="text" value="0"/>	Not Applicable	<input type="text" value="0"/>		Not Applicable	0	Not Applicable as submitted by public authority.
2.1.5	Report on disbursements made and place where the related reports are available	<input type="text" value="0"/>	Not Applicable	<input type="text" value="0"/>		Not Applicable	0	Not Applicable as submitted by public authority.
2.2	Foreign and domestic tours(F.No. 1/8/2012- IR dt. 11.9.2012)							
2.2.1	Budget	<input type="text" value="0"/>	Not Applicable	<input type="text" value="0"/>		Not Applicable	0	Not Applicable as submitted by public authority.

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2.2.2	Foreign and domestic Tours by ministries and officials of the rank of Joint Secretary to the Government and above, as well as the heads of the Department.- (a) Places visited, (b) The period of visit, (c) The number of members in the official delegation, (d) Expenditure on the visit	0	Not Applicable	0		Not Applicable	0	Not Applicable as submitted by public authority.
2.2.3	Information related to procurements- (a) Notice/tender enquires, and corrigenda if any thereon, (b) Details of the bids awarded comprising the names of the	16.67	Fully Met	16.67	https://www.bankofbaroda.in/tender.htm	Fully Met	16.67	Fully Met. URL quoted is correct.

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	suppliers of goods/ services being procured, (c) The works contracts concluded – in any such combination of the above-and, (d) The rate/ rates and the total amount at which such procurement or works contract is to be executed.							
2.3	Manner of execution of subsidy programme [Section 4(i)(b)(xii)]							
2.3.1	Name of the programme of activity	0	Not Applicable	0		Not Applicable	0	Not Applicable as submitted by public authority.
2.3.2	Objective of the programme	0	Not Applicable	0		Not Applicable	0	Not Applicable as submitted by public authority.
2.3.3	Procedure to avail benefits	0	Not Applicable	0		Not Applicable	0	Not Applicable as submitted by public authority.

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2.3.4	Duration of the programme/ scheme	0	Not Applicable	0		Not Applicable	0	Not Applicable as submitted by public authority.
2.3.5	Physical and financial targets of the programme	0	Not Applicable	0		Not Applicable	0	Not Applicable as submitted by public authority.
2.3.6	Nature/ scale of subsidy /amount allotted	0	Not Applicable	0		Not Applicable	0	Not Applicable as submitted by public authority.
2.3.7	Eligibility criteria for grant of subsidy	0	Not Applicable	0		Not Applicable	0	Not Applicable as submitted by public authority.
2.3.8	Details of beneficiaries of subsidy programme (number, profile etc)	0	Not Applicable	0		Not Applicable	0	Not Applicable as submitted by public authority.
2.4	Discretionary and non-discretionary grants [F. No. 1/6/2011-IR dt. 15.04.2013]							
2.4.1	Discretionary and non-discretionary grants/ allocations to State Govt./	0	Not Applicable	0		Not Applicable	0	Not Applicable as submitted by public authority.

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	NGOs/other institutions							
2.4.2	Annual accounts of all legal entities who are provided grants by public authorities	0	Not Applicable	0		Not Applicable	0	Not Applicable as submitted by public authority.
2.5	Particulars of recipients of concessions, permits of authorizations granted by the public authority[Section 4(1) (b) (xiii)]							
2.5.1	Concessions, permits or authorizations granted by public authority	0	Not Applicable	0		Not Applicable	0	Not Applicable as submitted by public authority.

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2.5.2	For each concessions, permit or authorization granted - (a) Eligibility criteria, (b) Procedure for getting the concession/ grant and/ or permits of authorizations, (c) Name and address of the recipients given concessions/ permits or authorizations, (d) Date of award of concessions/ permits of authorizations	0	Not Applicable	0		Not Applicable	0	Not Applicable as submitted by public authority.
2.6	CAG & PAC paras [F No. 1/6/2011- IR dt. 15.4.2013]							

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2.6.1	CAG and PAC paras and the action taken reports (ATRs) after these have been laid on the table of both houses of the parliament.	0	Not Applicable	0		Not Met	0	Not Met. Not Met. CAG/PAC covers all government entities. The submission of public authority that CAG/PAC is not applicable on them does not appear to be acceptable. Annual Reports of public authority as available on their website does refer to the aforesaid bodies especially also referring to Section 146(3) of the Companies Act 2013.

Banks Remark (Post audit) – Bank is acquired and incorporated under Banking Companies (Acquisition and Transfer of Undertakings) Act, 1970. Hence, provision of Companies Act, 2013 is not applicable to Bank.

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3.1	Particulars for any arrangement for consultation with or representation by the members of the public in relation to the formulation of policy or implementation there of [Section 4(1)(b)(vii)] [F No 1/6/2011-IR dt. 15.04.2013]							
3.1.1	Relevant Acts, Rules, Forms and other documents which are normally accessed by citizens	12.5	Fully Met	12.50	Various policies of the Bank having direct relevance to the customers are displayed on Bank's website at following link. (https://www.bankofbaroda.in/policy-documents.htm)The formulation of policies by the Bank for conducting its day to day affairs is an internal process that is undertaken as per a well defined process. All the policies are approved by Board of Directors of the Bank. Since, policies are internal to Bank, no public consultation is required.	Fully Met	12.50	Fully met / https://www.bankofbaroda.in/policy-documents.htm
3.1.2	Arrangements for consultation with or representation by - (a) Members of	12.5	Fully Met	12.50	The features of various products and services offered by the Bank are displayed on Bank's website. Similarly, policies having implication on customer service/transparency/customer rights etc are also displayed on Bank's website, which can be downloaded by the	Partially Met	6.25	Partially Met. Visitor meeting time by senior officers not displayed on the website.

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	the public in policy formulation/ policy implementation, (b) Day & time allotted for visitors,(c) Contact details of Information & Facilitation Counter (IFC) to provide publications frequently sought by RTI applicants				customers free of charge. The Bank also displays contact numbers of its call centers and all its branches where customers can obtain information on any products and services offered by the Bank. https://www.bankofbaroda.in/public-information-officers.htm			
<p>Banks Remark (Post audit) – Various policies of the Bank having direct relevance to the customers are displayed on Bank's website at following link. (https://www.bankofbaroda.in/customer-support/policydocuments.htm)The formulation of policies by the Bank for conducting its day to day affairs is an internal process that is undertaken as per a well-defined process. All the policies are approved by Board of Directors of the Bank. Since, policies are internal to Bank, no public consultation is required. However Member of public get participate Quarterly & half yearly basis in the meeting of CSCB & Standing committee on customer service.</p>								
3.1.3	Public- private partnerships (PPP)- Details of Special	0	Not Applicable	0		Not Applicable	0	Not Applicable as submitted by public authority.

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	Purpose Vehicle (SPV), if any							
3.1.4	Public- private partnerships (PPP)- Detailed project reports (DPRs)	0	Not Applicable	0		Not Applicable	0	Not Applicable as submitted by public authority.
3.1.5	Public- private partnerships (PPP) - Concession agreements.	0	Not Applicable	0		Not Applicable	0	Not Applicable as submitted by public authority.
3.1.6	Public- private partnerships (PPP)- Operation and maintenance manuals	0	Not Applicable	0		Not Applicable	0	Not Applicable as submitted by public authority.
3.1.7	Public- private partnerships (PPP) - Other	0	Not Applicable	0		Not Applicable	0	Not Applicable as submitted by public authority.

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	documents generated as part of the implementation of the PPP							
3.1.8	Public- private partnerships (PPP) - Information relating to fees, tolls, or the other kinds of revenues that may be collected under authorisation from the government	0	Not Applicable	0		Not Applicable	0	Not Applicable as submitted by public authority.
3.1.9	Public- private partnerships (PPP) - Information relating to	0	Not Applicable	0		Not Applicable	0	Not Applicable as submitted by public authority.

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	outputs and outcomes							
3.1.10	Public- private partnerships (PPP) - The process of the selection of the private sector party (concessionaire etc.)	0	Not Applicable	0		Not Applicable	0	Not Applicable as submitted by public authority.
3.1.11	Public- private partnerships (PPP) - All payment made under the PPP project	0	Not Applicable	0		Not Applicable	0	Not Applicable as submitted by public authority.
3.2	Are the details of policies / decisions, which affect public, informed to them [Section 4(1) (c)]							

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Sr. No	Details of disclosure	Total Marks	Selecte d Category by PA	Marks Obtained by PA	Remarks by PA	Auditor Category	Audit or Marks	Auditor Remarks/URL
3.2.1	Publish all relevant facts while formulating important policies or announcing decisions which affect public to make the process more interactive - Policy decisions/ legislations taken in the previous one year	16.67	Fully Met	16.67	Bank publish all public relevant materialistic event and information at https://www.bankofbaroda.in/media.htm	Fully Met	16.67	Fully Met. URL quoted is correct.
3.2.2	Publish all relevant facts while formulating important policies or announcing decisions	0	Not Applicable	0		Not Applicable	0	Not Applicable as submitted by public authority.

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Sr. No	Details of disclosure	Total Marks	Selected Category by PA	Marks Obtained by PA	Remarks by PA	Auditor Category	Audit or Marks	Auditor Remarks/URL
	which affect public to make the process more interactive - Outline the Public consultation process							
3.2.3	Publish all relevant facts while formulating important policies or announcing decisions which affect public to make the process more interactive- Outline the arrangement for consultation before	0	Not Applicable	0		Not Applicable	0	Not Applicable as submitted by public authority.

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Sr. No	Details of disclosure	Total Marks	Selecte d Category by PA	Marks Obtained by PA	Remarks by PA	Auditor Category	Audit or Marks	Auditor Remarks/URL
	formulation of policy							
3.3	Dissemination of information widely and in such form and manner which is easily accessible to the public [Section 4(3)]							
3.3.1	Use of the most effective means of communication - Internet (website)	50	Fully Met	50.00	Website:www.bankofbaroda.com Face book: https://www.facebook.com/bankofbaroda/ / Twitter: https://twitter.com/bankofbaroda YouTube: https://www.youtube.com/bankofbaroda Instagram: https://www.instagram.com/officialbankofbaroda/ LinkedIn: https://www.linkedin.com/company/bankofbaroda/	Fully Met	50.00	Fully Met. URLs quoted are correct.
3.4	Form of accessibility of information manual/ handbook [Section 4(1)(b)]							
3.4.1	Information manual/handbook available	25	Fully Met	25.00	All the information manual/Handbook related to RTI/CIC/Sec 4 -RTI compliance/service charges/Rate of	Fully Met	25.00	Fully Met. URLs quoted are correct.

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Sr. No	Details of disclosure	Total Marks	Selecte d Category by PA	Marks Obtained by PA	Remarks by PA	Auditor Category	Audit or Marks	Auditor Remarks/URL
	in Electronic format				Interest/Application forms and other relevant information are available on bank website in electronic format, which can be downloaded/printed by any member of public as per his convenience without any charges at https://www.bankofbaroda.in/right-to-information-act.htm "Information about Bank under Section 4 of RTI Act at https://www.bankofbaroda.in/particular-details-about-the-bank.htm Compliance of Direction Issued by the Central Information Commission U/S 19 (8) (a) at https://www.bankofbaroda.in/compliance-of-direction-issued-by-cic.htm			
3.4.2	Information manual/handbook available in Printed format	25	Fully Met	25.00	All the information manual/Handbook related to RTI/CIC/Sec 4 -RTI compliance/service charges/Rate of Interest/Application forms and other relevant information are available on bank website in electronic format, which can be downloaded/printed by any member of public as per his convenience without any charges at https://www.bankofbaroda.in/right-to-information-act.htm "Information about	Fully Met	25.00	Fully Met. URLs quoted are correct.

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Sr. No	Details of disclosure	Total Marks	Selecte d Category by PA	Marks Obtained by PA	Remarks by PA	Auditor Category	Audit or Marks	Auditor Remarks/URL
					Bank under Section 4 of RTI Act at https://www.bankofbaroda.in/particular-details-about-the-bank.htm Compliance of Direction Issued by the Central Information Commission U/S 19 (8) (a) at https://www.bankofbaroda.in/compliance-of-direction-issued-by-cic.htm			
3.5	Whether information manual/ handbook available free of cost or not [Section 4(1)(b)]							
3.5.1	List of materials available Free of cost	25	Fully Met	25.00	All the information manual/Handbook related to RTI/CIC/Sec 4 -RTI compliance/service charges/Rate of Interest/Application forms and other relevant information are available on bank website in electronic format at https://www.bankofbaroda.in/index.htm , which can be downloaded/printed by any member of public as per his convenience without any charges. The public is not required to pay even nominal charge for acquiring any information relevant to public at large, including various application forms. Hence 3.5.2 is not applicable for bank.	Fully Met	25.00	Fully Met. URLs quoted are correct.

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Sr. No	Details of disclosure	Total Marks	Selecte d Category by PA	Marks Obtained by PA	Remarks by PA	Auditor Category	Audit or Marks	Auditor Remarks/URL
3.5.2	List of materials available At a reasonable cost of the medium	0	Not Applicable	0		Not Applicable	0	Not Applicable as submitted by public authority.

4.1 Language in which Information Manual/Handbook Available [F No. 1/6/2011-IR dt. 15.4.2013]								
4.1.1	English	14.29	Fully Met	14.29	https://www.bankofbaroda.in/index.htm	Fully Met	14.29	Fully Met. URL quoted is correct.
4.1.2	Vernacular/ Local Language	14.29	Fully Met	14.29	https://www.bankofbaroda.in/index-hi.htm	Fully Met	14.29	Fully Met. URL quoted is correct.
4.2 When was the information Manual/Handbook last updated? [F No. 1/6/2011-IR dt 15.4.2013]								
4.2.1	Last date of Annual updation	28.57	Fully Met	28.57	Information Manual/ Handbook gets update timely on bank website as per applicability.	Fully Met	28.57	Fully Met.

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4.3 Information available in electronic form [Section 4(1)(b)(xiv)]								
4.3.1	Details of information available in electronic form	9.52	Fully Met	9.52	Various kind of bank's products, services, duties , functions, All the information manual/Handbook related to RTI/CIC/Sec 4 -RTI compliance/service charges/Rate of Interest/Application forms and other relevant information are available on bank website in electronic format, which can be downloaded/printed by any member of public as per his convenience without any charges	Fully Met	9.52	Fully Met.
4.3.2	Name/ title of the document/record/ other information	9.52	Fully Met	9.52	Information at bank's website is provided under well-defined structure of each kind of information	Fully Met	9.52	Fully Met.
4.3.3	Location where available	9.52	Fully Met	9.52	https://www.bankofbaroda.in/index.htm	Fully Met	9.52	Fully Met. URL quoted is correct.
4.4 Particulars of facilities available to citizen for obtaining information [Section 4(1)(b)(xv)]								
4.4.1	Name & location of the faculty	7.14	Fully Met	7.14	https://www.bankofbaroda.in/public-information-officers.htm https://www.bankofbaroda.in/right-to-information-act.htm	Fully Met	7.14	Fully Met. URLs quoted are correct.

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4.4.2	Details of information made available	7.14	Fully Met	7.14	All kind of information related to facilities/services which bank provides is made available to public at large.	Fully Met	7.14	Fully Met.
4.4.3	Working hours of the facility	7.14	Fully Met	7.14	Information on bank website is available to public irrespective to any specific time, it is available 24*7*365	Fully Met	7.14	Fully Met.
4.4.4	Contact person & contact details (Phone, fax email)	7.14	Fully Met	7.14	https://www.bankofbaroda.in/public-information-officers.htm	Fully Met	7.14	Fully Met. URL quoted is correct.
4.5	Such other information as may be prescribed under Section 4(i) (b)(xvii)							
4.5.1	Grievance redressal mechanism	3.57	Fully Met	3.57	https://www.bankofbaroda.in/redressal-of-complaints.htm	Fully Met	3.57	Fully Met. URL quoted is correct.
4.5.2	Details of applications received under RTI and information provided	3.57	Fully Met	3.57	https://www.bankofbaroda.in/particular-details-about-the-bank.htm#4bxvii	Fully Met	3.57	Fully Met. URL quoted is correct.
4.5.3	List of completed schemes/	0	Not Applicable	0		Not Met	0	Not Met. Public Sector banks have been given an important role in economic

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	projects/ Programmes							development of the country especially by Reserve Bank of India, Department of Financial Service, Ministry of Finance, GOI so their submission that information sought under this serial number is "Not Applicable" does not appear to be correct.
Banks Remark (Post audit) – All the government sponsored schemes/ Programmes are being implemented in true spirit by the bank for the public benefit and being monitored by bank's financial inclusion dept.								
4.5. 4	List of schemes/ projects/ programme underway	0	Not Applica ble	0		Not Met	0	Not Met. Public Sector banks have been given an important role in economic development of the country especially by Reserve Bank of India, Department of Financial Service, Ministry of Finance, GOI so their submission that information sought under this serial number is "Not Applicable" does not appear to be correct.
Banks Remark (Post audit) – All the government sponsored schemes/ Programmes are being implemented in true spirit by the bank for the public benefit and being monitored by bank's financial inclusion dept.								

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4.5. 5	Details of all contracts entered into including name of the contractor, amount of contract and period of completion of contract	3.57	Fully Met	3.57	https://www.bankofbaroda.in/summary-of-tender-contract.htm	Fully Met	3.57	Fully Met. URL quoted is correct.
4.5. 6	Annual Report	3.57	Fully Met	3.57	https://www.bankofbaroda.in/financial-reports.htm	Fully Met	3.57	Fully Met. URL quoted is correct.
4.5. 7	Frequently Asked Question (FAQs)	3.57	Fully Met	3.57	https://www.bankofbaroda.in/faqs.htm	Fully Met	3.57	Fully Met. URL quoted is correct.

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4.5.8	Any other information such as - (a) Citizen's Charter, (b) Result Framework Document (RFD), (c) Six monthly reports on the , (d) Performance against the benchmarks set in the Citizen's Charter	3.57	Fully Met	3.57	https://www.bankofbaroda.in/writereaddata/images/pdf/citizens-charter-2019-20-01-06-2020.pdf	Fully Met	3.57	Fully Met. URL quoted is correct.
4.6 Receipt & Disposal of RTI applications & appeals [F.No 1/6/2011-IR dt. 15.04.2013]								
4.6.1	Details of applications received and disposed	14.29	Fully Met	14.29	https://www.bankofbaroda.in/particular-details-about-the-bank.htm#4bxvii	Fully Met	14.29	Fully Met. URL quoted is correct.
4.6.2	Details of appeals received and orders issued	14.29	Fully Met	14.29	https://www.bankofbaroda.in/particular-details-about-the-bank.htm#4bxvii	Fully Met	14.29	Fully Met. URL quoted is correct.

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4.7 Replies to questions asked in the parliament [Section 4(1)(d)(2)]								
4.7. 1	Details of questions asked and replies given	0	Not Applicable	0		Not Met	0	Not Met. Parliament can ask/does ask question on government entities so the submission of public authority that information sought vide this serial number is "Not applicable " to them does not appear to be correct.
<p>Banks Remark (Post audit) – Details of the questions asked in the parliaments and replies given by bank has been provided under the "Other SUO- MOTO Disclosure by the Bank for general information and convenience of the public at large " https://www.bankofbaroda.in/customer-support/particular-details-about-the-bank</p>								

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Sr. No	Details of disclosure	Total Marks	Selected Category by PA	Marks Obtained by PA	Remarks by PA	Auditor Category	Auditor Marks	Auditor Remarks/URL
5.1	Such other information as may be prescribed [F.No. 1/2/2016-IR dt. 17.8.2016, F No. 1/6/2011-IR dt. 15.4.2013]							
5.1.1	Name & details of - (a) Current CPIOs & FAAs, (b) Earlier CPIO & FAAs from 1.1.2015	20	Fully Met	20.00	Current CPIO & FAA may be accessed at https://www.bankofbaroda.in/public-information-officers.htm and https://www.bankofbaroda.in/first-appellate-authorities.htm Earlier Corporate Office CPIO -C L Gupta(DGM-Recovery) than Anup Kumar(DGM-NRI) than Mr. Chinnaswamy(DGM-Recovery) Earlier Corporate Office FAA -M L Sharma (GM-Recovery) than P N Mehrotra (GM-Recovery) than A N Gupta.	Fully Met	20.00	Fully Met. URLs quoted are correct.
5.1.2	Details of third party audit of voluntary disclosure - (a) Dates of audit carried out , (b) Report of the audit carried out	20	Fully Met	20.00	FOR PREVIOUS FY: Third Party Audit of Proactive Disclosure Package Auditors Name: CA Abhishek Bajaj, Partner in V S C & Associates., Chartered Accountants. Registration details of the Auditors: FRN No. 141677W. Audit Report dated: 22.01.2020. FOR CURRENT FY: REPORT & DETAILS WILL BE UPLOAD AFTER TRANSPARANCY AUDIT FROM NIFM.	Fully Met	20.00	Fully Met.

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Sr. No	Details of disclosure	Total Marks	Selected Category by PA	Marks Obtained by PA	Remarks by PA	Auditor Category	Auditor Marks	Auditor Remarks/URL
5.1.3	Appointment of Nodal Officers not below the rank of Joint Secretary/ Additional HoD - (a) Date of appointment , (b) Name & Designation of the officers	0	Not Applicable	0		Partially Met	10.00	Partially Met. Though the public authority has appointed responsible officers as PIO's however the name of Nodal Officer of a sufficiently senior rank as per serial number is an important requirement The submission of the public authority that this serial number is "Not Applicable" on them does not appear to be correct.

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Sr. No	Details of disclosure	Total Marks	Selected Category by PA	Marks Obtained by PA	Remarks by PA	Auditor Category	Auditor Marks	Auditor Remarks/URL
<p>Banks Remark (Post audit) – Senior executives has been nominated as a Nodal officers at every Regional/Zonal/ Corporate level for information dissemination & Appeal. Current PIO/CPIO & FAA may be accessed at https://www.bankofbaroda.in/contact-us/public-information https://www.bankofbaroda.in/contact-us/first-appellateauthorities. Especially bank has nominated 2 Senior officials at Corporate & HO level, separately where public may approach for information. However bank does not follow HR hierarchy/ post as Joint Secretary/ Additional HoD, Bank has its own guidelines on HR administration/ Post.</p>								

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Sr. No	Details of disclosure	Total Marks	Selected Category by PA	Marks Obtained by PA	Remarks by PA	Auditor Category	Auditor Marks	Auditor Remarks/URL
5.1.4	Consultancy committee of key stake holders for advice on suo- motu disclosure - (a) Dates from which constituted, (b) Name & Designation of the officers	0	Not Applicable	0		Partially Met	10.00	Partially Met. The public authority has mentioned on its website suo-motto disclosures for the information of public, however they have not cited details of Consultancy Committee of key stake holders for advice on suo-motto disclosures.
5.1.5	Committee of PIOs/FAAs with rich experience in RTI to identify frequently	20	Not Met	0		Not Met	0	Not Met as submitted by the public authority themselves.

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Sr. No	Details of disclosure	Total Marks	Selected Category by PA	Marks Obtained by PA	Remarks by PA	Auditor Category	Auditor Marks	Auditor Remarks/URL
	sought information under RTI - (a) Dates from which constituted, (b) Name & Designation of the Officers							

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Sr. No	Details of disclosure	Total Marks	Selected Category by PA	Marks Obtained by PA	Remarks by PA	Auditor Category	Auditor Marks	Auditor Remarks/URL
6.1	Item / information disclosed so that public have minimum resort to use of RTI Act to obtain information							
6.1.1	Item / information disclosed so that public have minimum resort to use of RTI Act to obtain information	25	Fully Met	25.00	A Well-defined & segregated information is being provided by bank so that public have minimum resort to use of RTI ACT to obtain information, at https://www.bankofbaroda.in/right-to-information-act.htm	Fully Met	25.00	Fully Met. URL quoted is correct.
6.2	Guidelines for Indian Government Websites (GIGW) is followed (released in February, 2009 and included in the Central Secretariat Manual of Office Procedures (CSMOP) by Department of Administrative Reforms and Public Grievances, Ministry of Personnel, Publ							
6.2.1	Whether STQC certification obtained and its validity	0	Not Applicable	0		Not Met	0	Not Met as STOC (Standardizations Testing and Quality Certification Directorate, GOI) certification has still not been

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Sr. No	Details of disclosure	Total Marks	Selected Category by PA	Marks Obtained by PA	Remarks by PA	Auditor Category	Auditor Marks	Auditor Remarks/URL
								obtained by them and placed on their website. The public authority claims that the process of STOC certification has been initiated vide application no. 6072 dated 05/07/2018 but that does not satisfy the information required in the said serial number.
<p>Banks Remark (Post audit) – Bank has approached to the respective certificate issuing authority, as per STQC officials this particular certification is for GOI websites only. In other words, the websites with domain names ending with gov.in or nic.in or edu.in or similar domains are eligible for the certification. The same is mentioned in the Guidelines for Indian Govt Websites - GIGW2018_Released version.pdf. The document is linked here (https://www.stqc.gov.in/sites/default/files/Guidelines%20for%20Indian%20Govt%20Websites%20-%20GIGW2018_Released%20version.pdf) for your ready reference. Since our bank’s website is having .in, .com, .co.in domain names, bank is not eligible for the same. Hence, it is not applicable.</p>								

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Sr. No	Details of disclosure	Total Marks	Selected Category by PA	Marks Obtained by PA	Remarks by PA	Auditor Category	Auditor Marks	Auditor Remarks/URL
6.2.2	Does the website show the certificate on the Website?	0	Not Applicable	0		Not Met	0	Not Met as STOC (Standardizations Testing and Quality Certification Directorate, GOI) certification has still not been obtained by them and placed on their website. The public authority claims that the process of STOC certification has been initiated vide application no. 6072 dated 05/07/2018 but that does not satisfy the information required in the said serial number

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Sr. No	Details of disclosure	Total Marks	Selected Category by PA	Marks Obtained by PA	Remarks by PA	Auditor Category	Auditor Marks	Auditor Remarks/URL
<p>Banks Remark (Post audit) – Bank has approached to the respective certificate issuing authority, as per STQC officials this particular certification is for GOI websites only. In other words, the websites with domain names ending with gov.in or nic.in or edu.in or similar domains are eligible for the certification. The same is mentioned in the Guidelines for Indian Govt Websites - GIGW2018_Released version.pdf under . The document is linked here (https://www.stqc.gov.in/sites/default/files/Guidelines%20for%20Indian%20Govt%20Websites%20-%20GIGW2018_Released%20version.pdf) for your ready reference. Since our bank's website is having .in, .com, .co.in domain names, bank is not eligible for the same. Hence, it is not applicable.</p>								