

BCC:ISD:116:16:02

01.01.2024

The Vice-President, B S E Ltd.,	The Vice-President, National Stock Exchange of India Ltd.
Phiroze Jeejeebhoy Towers	Exchange Plaza,
Dalal Street	Bandra Kurla Complex, Bandra (E)
Mumbai – 400 001	Mumbai – 400 051
BSE CODE-532134	CODE-BANKBARODA

Dear Sir/Madam,

Re: Bank of Baroda – Disclosure under Regulation 30(LODR)

We advise that in terms of regulation 30 of SEBI LODR Regulations 2015, we furnish below the penalty imposed on the Bank:-

Sr No.	Name of the authority	Nature and details of the action(s) taken, initiated or order(s) passed	Date of receipt of direction or order, including any ad- interim orders, or any other communication from the authority	Details of the violation(s)/contravention(s) committed or alleged to be committed	Impact on financial operation or other activities of the listed entity, quantifiable in monetary terms to the extent possible
1	KSCDRC	29116	30-Dec-23	State commission imposed compensation on branch copy attached	NIL
2	BANKING OMBUDSMAN	7400	30-Dec-23	Cash not dispense from bob ATM	NIL
3	COURT	15000	30-Dec-23	Due to withdrawal of demand notice under sec13(2) of SARFAESI ACT 2002	NIL
4	CBDT	6777740	30-Dec-23	Penal interest on delayed remittance of GST by the banks to the Government accounts in RBI during the initial period of GST implementation levied by Principal Chief Controller of Accounts, Central Board of Indirect Taxes and Customs.	NIL
5	BANKING OMBUDSMAN	11900	30-Dec-23	Delayed in refunding amount, payment of compensation as per RBI circular Harmonization TAT	NIL
6	Virtual Treasury, Govt of Maharashtra	28186.45	30-Dec-23	Penal interest for delayed remittance	NIL
7	COURT	100000	30-Dec-23	Delay in withdrawal of OA 168 2011 file before honourable DRT 1 against Ms. Kirti Gas service with Fatehpura Branch	NIL
8	COURT	25000	30-Dec-23	Delay in withdrawal of OA 167 2011 file before honourable DRT 1 against M s PAM INTERNATIONAL service with Fatehpura Branch	NIL
9	BANKING OMBUDSMAN	5000	30-Dec-23	Manhandling during customer visit to Novino Tarsali Branch for complain for SSPBP.	NIL

बड़ौदा कार्पोरेट सेन्टर, सी-26, जी-ब्लॉक, बांद्रा-कुर्ला कॉम्पलेक्स, बान्द्रा (पू.), गुंबई - 400 051,भारत. Baroda Corporate Centre, C-26, G-Block, Bandra Kurla Complex, Bandra (E), Mumbai - 400 051, India. फोन / Phone : 91 22 6698 5812 / 5733 • ई-मेल / E-mail : companysecretary.bcc@bankofbaroda.com • वेब / Web : www.bankofbaroda.in



10	BANKING OMBUDSMAN	1000	30-Dec-23	Complaints as the bank Failed To share SMS logs within time Period.	NIL
11	Virtual Treasury, Govt of Maharashtra	20146	30-Dec-23	Penal interest on delayed cash remittance in RBI	NIL
12	BANKING OMBUDSMAN	7700	30-Dec-23	Delay in reversal of amount for failed ATM transaction of Rs. 1000/- through QR Code scanning mode.	NIL
13	CONSUMER FORUM	55254.59	30-Dec-23	Wrong crop was insured from what was written in the application by KCC holder. Both bank & insurance company were jointly & severely held liable 65026+9% intt from 10.08.20 +rs 15000 for mental agony & rs 10000 for litigation costs.	NIL
14	BANKING OMBUDSMAN	20000	30-Dec-23	Advised to pay additional amount of Rs.20000 for mental harassment	NIL
15	BANKING OMBUDSMAN	6000	30-Dec-23	Delay in reversal of disputed amount for failed ATM transaction of Rs. 10000/	NIL
16	RBI-CURRENCY CHEST	15000	30-Dec-23	RBI inspection was conducted on 02.11.2023 in Currency Chest at Market Yard Patan. Deficiencies observed were 1. Sorting is not done T plus 2 days. 2. Unsorted notes classified re issuable or soil. 3. NSM are not being utilized. Penalty debited from BITTA account on 23.11.2023. Request for waiver of penalty raised on 29.11.2023.	NIL
17	Western Railway	203847.61	30-Dec-23	Penal interest for delayed credit towards cash pick up transactions	NIL
18	RBI	1060	30-Dec-23	MUTILATED, COUNTERFEIT NOTES	NIL
19	RBI	5000	30-Dec-23	Interest loss delay in payment of FDR	NIL
20	BANKING OMBUDSMAN	5448	30-Dec-23	Deficiency in services in processing of cheque and recovery regarding the same of the customer of centre point branch Bharuch region	NIL
21	CONSUMER FORUM	15000	30-Dec-23	Consumer Case No. CC/187/2022 was initiated by Mr. Hasmukh Bhai A Patel against Bank of Baroda College Road Nadiad, India First Life Insurance Co Ltd, and Mr. Hetal Bhai Shah. Written statement & evidences of Bank were not accepted by forum as time period lapses on 31.12.2022. Forum has awarded Rs. 10 Lakh with 7% Interest from date of filing of Compliant and Rs. 10000 for mental agony & Rs. 5000 for cost. to be paid jointly and severally within 30 days.	NIL
22	RBI	1450	30-Dec-23	MUTILATED, COUNTERFEIT NOTES	NIL
23	CONSUMER FORUM	22500	30-Dec-23	For making delay in disbursement in home loan by bank	NIL
24	RBI-CURRENCY CHEST	11900	30-Dec-23	Mutilated note in soil note	NIL



25	RBI	10000	30-Dec-23	ATM cash out for more than 10 hours	NIL
26	BANKING OMBUDSMAN	5000	30-Dec-23	SIP in customer account not debited due to mandate not generated	NIL
27	CONSUMER FORUM	22500	30-Dec-23	As per the order of commission bank has failed due to negligence to apply or lodge PMAY subsidy claim with HUDCO due to which no subsidy has been received by customer till date	NIL
28	RBI	10000	30-Dec-23	cash out of ATM ID 6NKHELCH01 on 30 OCT 2023 of our khelchipur branch only one officer available on 30 OCT 2023 so branch could not upload cash in ATM	NIL

We request you to take note of the above pursuant to Regulation 30 (LODR) and upload the information on your website.

Yours faithfully,

P K Agarwal Company Secretary